

SIPP RETIREMENT KEY FACTS QUESTIONNAIRE

ACCESSING YOUR PENSION SAVINGS

The Background

How and when you access your pension savings is one of the most important decisions you will make in your lifetime. The financial services regulator, the Financial Conduct Authority (FCA), requires us to check some basic key facts with you, prior to you accessing your pension savings.

Your answers below will allow us to point out any specific risks that you will need to be aware of before taking money out of your pension. Please note that the risks we point out to you are based on your answers and are for information purposes only, as Carey Pensions UK LLP and Carey Pensions Trustees UK Ltd cannot provide advice.

It is important that you either seek free and impartial guidance from the Pension Wise service www.pensionwise.gov.uk or obtain appropriate independent advice from a qualified financial adviser, before accessing your pension savings.

Private & Confidential

All the answers you provide below will be treated as strictly private and confidential and in line with the Data Protection Act 1998.

Key Facts Questions

Please complete the questions below as accurately as possible, based on your current situation.

Full Name of Member	SIPP Scheme Number
<p>1. What guidance and / or advice have you already taken regarding your retirement options?</p> <p> <input type="radio"/> Pension Wise <input type="checkbox"/> <input type="radio"/> Financial Advice <input type="checkbox"/> <input type="radio"/> Nothing Yet <input type="checkbox"/> </p>	
<p>2. What is your current health status?</p> <p> <input type="radio"/> Excellent <input type="checkbox"/> <input type="radio"/> Good <input type="checkbox"/> <input type="radio"/> Poor <input type="checkbox"/> </p>	

3. Do you have a partner / spouse / financial dependents?

- Yes
- No

4. Is your Carey Pensions SIPP your only source of pension savings?

- Yes
- No

5. If you are intending to transfer from a company pension scheme to access your pension savings, have you taken financial advice first?

- Yes
- No
- Not applicable

6. Have you taken advice or guidance about the tax implications of accessing your pension?

- Yes
- No

7. Do you receive means tested State Benefits and / or financial support that is dependent on the amount you hold in savings?

- Yes
- No

8. Do you have any outstanding debts (excluding mortgage) or potential creditors?

- Yes
- No

9. Are you intending to continue paying into a pension?

- Yes
- No
- Don't Know

10. If you plan to reinvest the money you are taking out of your pension, are you aware of the guidance available for avoiding investment scams?

- Yes
- No
- Not applicable

11. If you are intending to purchase an 'annuity' with your pension savings, have you shopped around or obtained independent financial advice?

- Yes
- No
- Not applicable

Signed By Member:

The responses I have provided above are true to the best of my knowledge. My answers reflect my current circumstances and my plans to access my pension savings.

Print Name _____

Signature _____

Date Signed _____

Next Steps

Thank you for taking the time to answer the retirement key facts questions.

Following receipt of this questionnaire, we will highlight any specific risks to you that you should be aware of before accessing your pension, based on the answers you have given.

Carey Pensions UK LLP and Carey Pensions Trustees UK Ltd cannot provide advice and the risk warnings we highlight to you are for information purposes only and are not exhaustive. You should read all of the risk warnings in full so you understand the risks involved prior to making your decision.

Please Return This Form To:

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